

# Automorphism groups of extremal Klein surfaces

Gou Nakamura

Aichi Institute of Technology

Mini-workshop “Geometry and Topology of Discrete Groups”  
October 31-November 1, 2024

# Purpose

We present some automorphism groups of extremal Klein surfaces.

# Purpose

We present some automorphism groups of extremal Klein surfaces.

**Klein surface:** a topological surface together with a dianalytic structure

Every coordinate transition function of the surface is analytic or anti-analytic.

In this talk a Klein surface means a non-orientable closed ones.

# Extremal surfaces

$S$ : a closed hyperbolic surface of genus  $g$ .

$$g = \begin{cases} \# \text{handles} \geq 2 & \text{if } S \text{ is orientable,} \\ \# \text{cross caps} \geq 3 & \text{if } S \text{ is non-orientable.} \end{cases}$$

# Extremal surfaces

$S$ : a closed hyperbolic surface of genus  $g$ .

$$g = \begin{cases} \# \text{handles} \geq 2 & \text{if } S \text{ is orientable,} \\ \# \text{cross caps} \geq 3 & \text{if } S \text{ is non-orientable.} \end{cases}$$

$$\mathbb{H} = \{z \in \mathbb{C} \mid \text{Im} z > 0\}$$

$\exists \Gamma$ : **non-Euclidean crystallographic group** (NEC group) s.t.

$$S = \mathbb{H}/\Gamma.$$

NEC group: a discrete cocompact subgroup of  
 $\text{Aut}^\pm(\mathbb{H}) = \text{PGL}(2, \mathbb{R})$

$S$  inherits the hyperbolic metric from  $\mathbb{H}$ .

$S = \mathbb{H}/\Gamma$ : an extremal surface

$\stackrel{\text{def}}{\iff} S$  contains an extremal disc  $D$ , i.e. the largest disc of which radius  $r_g$  is determined by  $g$  and the orientability of  $S$ .

$$\cosh r_g = \frac{1}{2 \sin(\pi/N)},$$

$$\text{where } N = \begin{cases} 12g - 6 & (\text{if } S \text{ is orientable}) \\ 6g - 6 & (\text{if } S \text{ is non-orientable}) \end{cases}$$

$S = \mathbb{H}/\Gamma$ : an extremal surface

$\stackrel{\text{def}}{\iff} S$  contains an extremal disc  $D$ , i.e. the largest disc of which radius  $r_g$  is determined by  $g$  and the orientability of  $S$ .

$$\cosh r_g = \frac{1}{2 \sin(\pi/N)},$$

$$\text{where } N = \begin{cases} 12g - 6 & (\text{if } S \text{ is orientable}) \\ 6g - 6 & (\text{if } S \text{ is non-orientable}) \end{cases}$$

$\stackrel{\text{iff}}{\iff} \exists$  a regular  $N$ -gon as a fundamental region of  $\Gamma$ ,

$S = \mathbb{H}/\Gamma$ : an extremal surface

$\stackrel{\text{def}}{\iff} S$  contains an extremal disc  $D$ , i.e. the largest disc of which radius  $r_g$  is determined by  $g$  and the orientability of  $S$ .

$$\cosh r_g = \frac{1}{2 \sin(\pi/N)},$$

$$\text{where } N = \begin{cases} 12g - 6 & (\text{if } S \text{ is orientable}) \\ 6g - 6 & (\text{if } S \text{ is non-orientable}) \end{cases}$$

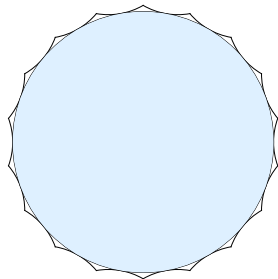
$\stackrel{\text{iff}}{\iff} \exists$  a regular  $N$ -gon as a fundamental region of  $\Gamma$ ,

$\stackrel{\text{iff}}{\iff} \Gamma$  is torsion-free subgroup of

$$\begin{cases} \Delta(2, 3, N) \text{ with index } N & (\text{if } S \text{ is orientable}) \\ \Delta^\pm(2, 3, N) \text{ with index } 2N & (\text{if } S \text{ is non-orientable}) \end{cases}$$



# How large is an extremal disc?



Closed Riemann surface of genus 2

$$\frac{\text{area}(D)}{\text{area}(S)} \approx 0.9397.$$

(This hyperbolic polygon is depicted in the unit disc  $\mathbb{D}$ .)

# The limit of the ratio as $g \rightarrow \infty$

$S_g$ : an extremal Riemann surface of genus  $g \geq 2$

$D_g$ : an extremal disc in  $S_g$

Then

$$\frac{\text{area}(D_g)}{\text{area}(S_g)} = \frac{2\pi(\cosh r_g - 1)}{2\pi(2g - 2)} = \frac{\frac{1}{2 \sin(\pi/(12g-6))} - 1}{2g - 2}$$
$$\xrightarrow{g \rightarrow \infty} \frac{3}{\pi} \approx 0.9549.$$

# Extremal Klein surfaces

Extremal surfaces may contain more than one extremal discs.  
However ...

## Theorem 1.1 (Girondo-N (2007))

*If  $g > 6$ , then non-orientable extremal surfaces of genus  $g$  do not contain more than one extremal disc.*

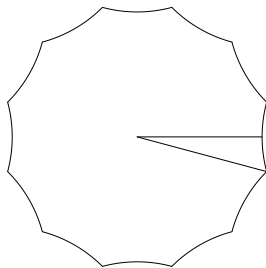
## Theorem 1.2 (Girondo-N (2007))

*$S$  is extremal iff  $\exists \Gamma$ : a torsion-free NEC subgroup of  $\Delta^\pm(2, 3, 6g - 6)$  with index  $12g - 12$  s.t.  $S = \mathbb{H}/\Gamma$*

$\Delta^\pm(p, q, r)$ : the extended triangle group  
i.e. the group generated by three reflections of sides of a triangle  
with angle  $\pi/p, \pi/q, \pi/r$ .

Proof. ( $\Rightarrow$ )  $S$  has a regular  $6g - 6$ -gon  $P$  as its fundamental region.  
 $\Gamma := \langle \text{side-pairings of } P \rangle$

Every side-pairing of  $P$  is generated by  $\sigma_1, \sigma_2, \sigma_3$  (reflections).



Therefore  $\Gamma \subset \Delta^\pm = \Delta^\pm(2, 3, 6g - 6)$ .

$$[\Delta^\pm : \Gamma] = \frac{\text{Area}(\Gamma)}{\text{Area}(\Delta^\pm)} = 12g - 12.$$

( $\Leftarrow$ ) Since  $\Gamma$  is an NEC group,  $S$  is non-orientable.  $S$  is extremal because it has a regular  $6g - 6$ -gon as the fundamental region.

## Commensurators

$G$ : a Fuchsian group

$$\text{Comm}(G) := \left\{ \begin{array}{l} \alpha \in \text{Aut}(\mathbb{H}) = \text{PSL}(2, \mathbb{R}); \\ G \cap \alpha^{-1}G\alpha \text{ has finite index} \\ \text{in } G \text{ and in } \alpha^{-1}G\alpha \end{array} \right\}$$

$G$ : an NEC group

$$\text{Comm}^{\pm}(G) := \left\{ \begin{array}{l} \alpha \in \text{Aut}^{\pm}(\mathbb{H}) = \text{PGL}(2, \mathbb{R}); \\ G \cap \alpha^{-1}G\alpha \text{ has finite index} \\ \text{in } G \text{ and in } \alpha^{-1}G\alpha \end{array} \right\}$$

## Theorem 1.3

$G$ : an NEC group

$G^{+} := G \cap \text{Aut}(\mathbb{H})$  is nonarithmetic

$\Rightarrow \text{Comm}^{\pm}(G)$  is discrete

Let  $G$  be a (proper) NEC group. Since  $[G : G^+] = 2$ ,  
 $G = G^+ \cup \gamma G^+$  for some  $\gamma \in G$ .

### Lemma 1.4

$$\text{Comm}^\pm(G^+) = \text{Comm}(G^+) \cup \gamma \text{Comm}(G^+)$$

### Lemma 1.5

$$\text{Comm}^\pm(G^+) = \text{Comm}^\pm(G)$$

### Proof of Thm 1.3

$G^+$  is nonarithmetic

$\Rightarrow \text{Comm}(G^+)$  is discrete (Margulis' theorem).

$\Rightarrow \text{Comm}^\pm(G^+)$  is discrete Lem 1.4.

$\Rightarrow \text{Comm}^\pm(G)$  is discrete Lem 1.5.

## Uniqueness of discs

### Theorem 1.6 (Girondo-N (2007))

*$S$ : a non-orientable extremal surface of genus  $g > 6$   
 $\Rightarrow S$  has a unique extremal disc.*

Proof.  $D_1, D_2$ : extremal discs of  $S = \mathbb{H}/K$

For each  $D_i$ ,  $\exists \Delta_i^\pm(2, 3, 6g - 6) \supset K$

$\exists \alpha \in \text{Aut}^\pm(\mathbb{H})$  s.t.  $\alpha^{-1} \Delta_1^\pm \alpha = \Delta_2^\pm$

Then  $\alpha \in \text{Comm}^\pm(\Delta_1^\pm)$ .



$\Delta_1^+ := \Delta_1^\pm \cap \text{Aut}(\mathbb{H})$ , triangle group of type  $(2, 3, 6g - 6)$

$\left( \begin{array}{l} \text{K. Takeuchi (1977)} \\ \text{List of all arithmetic triangle groups} \\ \text{e.g., } (2, 3, n) : \text{ arithmetic} \\ \Rightarrow n = 7, 8, 9, 10, 11, 12, 14, 16, 18, 24, 30. \end{array} \right)$

$g > 6$

$\Rightarrow \Delta_1^+$  is nonarithmetic

$\Rightarrow \text{Comm}^\pm(\Delta_1^\pm)$  is discrete (Thm 2).

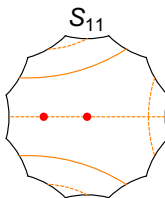
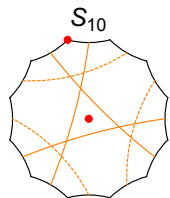
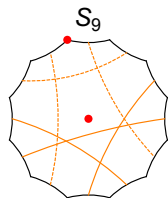
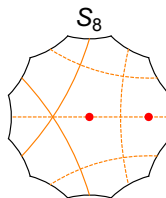
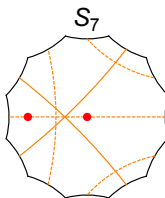
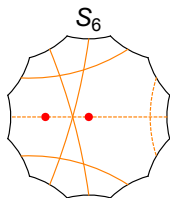
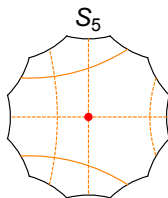
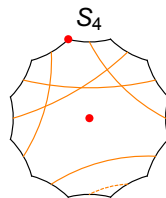
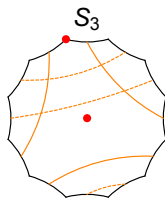
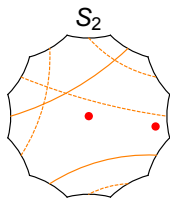
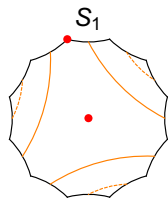
$$\left( \begin{array}{l} \text{E. Bujalance (1982)} \\ \text{normal pairs of NEC signatures} \\ \hline \text{J.L.Estévez and M.Izquierdo (2006)} \\ \text{non-normal pairs of NEC signatures} \end{array} \right)$$

- $\Rightarrow \Delta_1^\pm$  is maximal.
- $\Rightarrow \text{Comm}^\pm(\Delta_1^\pm) = \Delta_1^\pm$
- $\Rightarrow \alpha \in \Delta_1^\pm$
- $\Rightarrow \Delta_1^\pm = \Delta_2^\pm$
- $\Rightarrow D_1 = D_2$

## Theorem 1.7 (Girondo-N (2007))

*There exist exactly 11 non-orientable extremal surfaces  $S_1, \dots, S_{11}$  of genus 3. All the centers of extremal discs are obtained. The group of automorphisms of these surfaces are also obtained.*

The next slide shows the fundamental regions of NEC groups for these surfaces.



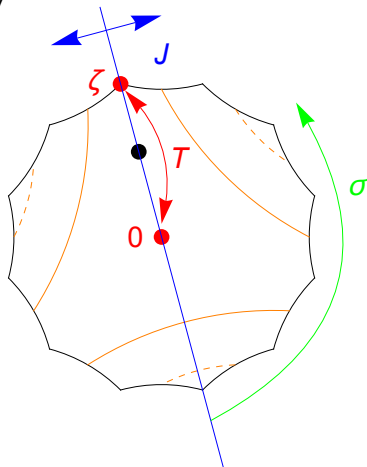
# The group of automorphisms of $S_1$

$$\text{Aut}(S_1) = D_3 \times \mathbb{Z}_2 = \langle \sigma, J \rangle \times \langle JT \rangle$$

$$t(z) = \frac{\zeta - z}{1 - \bar{\zeta}z} \rightsquigarrow T,$$

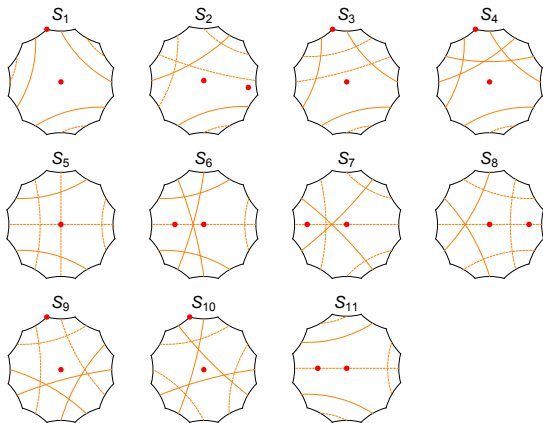
$$s(z) = e^{2\pi i/3} z \rightsquigarrow \sigma.$$

$$j(z) = e^{-5\pi i/6} \bar{z} \rightsquigarrow J$$



# The group of automorphisms of $S_1, \dots, S_{11}$

Surface	Aut
$S_1$	$D_3 \times \mathbb{Z}_2$
$S_2$	$\mathbb{Z}_2$
$S_3$	$\mathbb{Z}_2 \times \mathbb{Z}_2$
$S_4$	$\mathbb{Z}_2 \times \mathbb{Z}_2$
$S_5$	$\mathbb{Z}_2$
$S_6$	$\mathbb{Z}_2 \times \mathbb{Z}_2$
$S_7$	$\mathbb{Z}_2 \times \mathbb{Z}_2$
$S_8$	$\mathbb{Z}_2 \times \mathbb{Z}_2$
$S_9$	$\mathbb{Z}_2 \times \mathbb{Z}_2$
$S_{10}$	$D_3 \times \mathbb{Z}_2$
$S_{11}$	$\mathbb{Z}_2 \times \mathbb{Z}_2$



For  $g = 4, 5, 6$ , the groups of automorphisms are obtained [N2009], [N2012], [N2016].

# The maximum order of automorphisms

## Theorem 2.1 (W. Hall, 1978)

*The maximum order of an automorphism of a closed non-orientable surface of genus  $g \geq 3$  is*

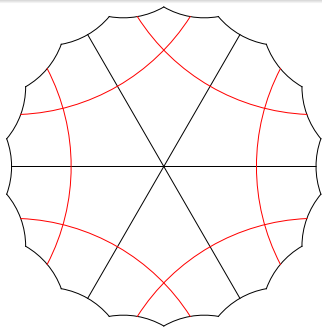
$$\begin{cases} 2g & \text{if } g \text{ is odd,} \\ 2(g-1) & \text{if } g \text{ is even.} \end{cases}$$

In the next slides black (resp. red) curves indicate pairings of sides by orientation-preserving (resp. reversing) side-pairing mappings.

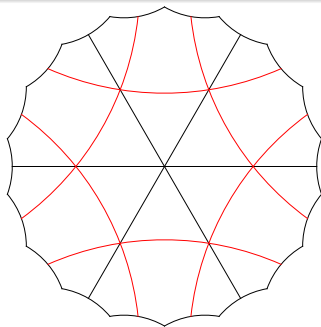


## Corollary 2.2 (N)

*There exist exactly two non-orientable extremal surfaces of genus 4 which admits the maximum order  $6 = 2(g - 1)$ .*

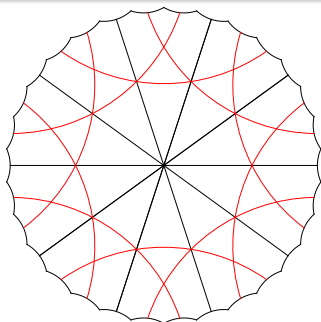


$Y_4$

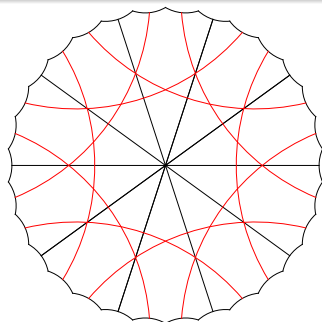


## Corollary 2.3 (N)

*There exist exactly two non-orientable extremal surfaces of genus 6 which admits the maximum order  $10 = 2(g - 1)$ .*



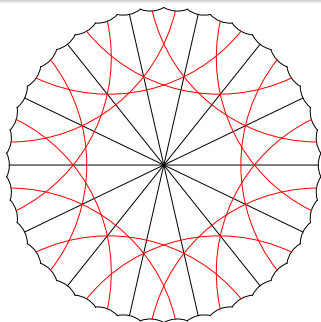
$X_6$



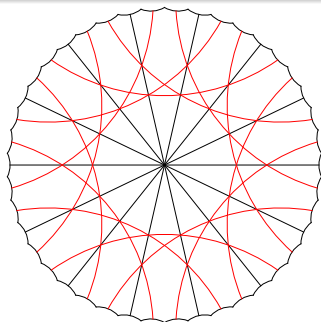
$Y_6$

## Corollary 2.4 (N)

*There exist exactly two non-orientable extremal surfaces of genus 8 which admits the maximum order  $14 = 2(g - 1)$ .*



$X_8$



$Y_8$

# The side-pairing patterns

Number the sides of 30-gon from 0 to 29. Then the side-pairing patterns of  $X_6$  and  $Y_6$  are as follows.

$X_6$			$Y_6$		
0	—	15	0	—	15
3	—	18	3	—	18
6	—	21	6	—	21
9	—	24	9	—	24
12	—	27	12	—	27
1	—	8	1	—	5
4	—	11	4	—	8
7	—	14	7	—	11
	⋮			⋮	
28	—	5	28	—	2

As an application of Corollaries 2.2-2.4, we can show that there are two families  $\{X_{2m}\}_{m=2}^{\infty}$  and  $\{Y_{2m}\}_{m=2}^{\infty}$  of non-orientable extremal surfaces of even genus  $g = 2m$  with an automorphism of order  $2(g - 1)$ .

## Proposition 2.5 (N)

Let  $S = \mathbb{D}/\Gamma$  be a compact non-orientable surface of genus  $g = 2m$  ( $m \geq 2$ ). If  $S$  is a non-orientable extremal surface with an automorphism of maximum order  $2(g - 1)$ , then a fundamental region of the NEC group  $\Gamma$  is taken as the regular  $6(g - 1)$ -gon of which side-pairing pattern is either 1 or 2 as follows:

$$\textcircled{1} \quad \begin{array}{ll} 3i & \text{---} 3i + 6m - 3 \quad (i = 0, 1, \dots, 2m - 2), \\ 3i + 1 & \text{---} 3i + 3m - 1 \quad (i = 0, 1, \dots, 4m - 3), \end{array}$$

$$\textcircled{2} \quad \begin{array}{ll} 3i & \text{---} 3i + 6m - 3 \quad (i = 0, 1, \dots, 2m - 2), \\ 3i + 1 & \text{---} 3i + 9m - 4 \quad (i = 0, 1, \dots, 4m - 3). \end{array}$$

## Proposition 2.5 (N)

Let  $S = \mathbb{D}/\Gamma$  be a compact non-orientable surface of genus  $g = 2m$  ( $m \geq 2$ ). If  $S$  is a non-orientable extremal surface with an automorphism of maximum order  $2(g - 1)$ , then a fundamental region of the NEC group  $\Gamma$  is taken as the regular  $6(g - 1)$ -gon of which side-pairing pattern is either 1 or 2 as follows:

- 1
 

$3i$	—	$3i + 6m - 3$	$(i = 0, 1, \dots, 2m - 2),$
$3i + 1$	—	$3i + 3m - 1$	$(i = 0, 1, \dots, 4m - 3),$
- 2
 

$3i$	—	$3i + 6m - 3$	$(i = 0, 1, \dots, 2m - 2),$
$3i + 1$	—	$3i + 9m - 4$	$(i = 0, 1, \dots, 4m - 3).$

Thank you so much!